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# Oxomanganese complexes for natural and artificial photosynthesis

Ivan Rivalta, Gary W Brudvig and Victor S Batista

The oxygen-evolving complex (OEC) of Photosystem II (PSII) is an oxomanganese complex that catalyzes water-splitting into O<sub>2</sub>, protons and electrons. Recent breakthroughs in X-ray crystallography have resolved the cuboidal OEC structure at 1.9 Å resolution, stimulating significant interest in studies of structure/function relations. This article summarizes recent advances on studies of the OEC along with studies of synthetic oxomanganese complexes for artificial photosynthesis. Quantum mechanics/molecular mechanics hybrid methods have enabled modeling the S1 state of the OEC, including the ligation proposed by the most recent X-ray data where D170 is bridging Ca and the Mn center outside the CaMn<sub>3</sub> core. Molecular dynamics and Monte Carlo simulations have explored the structural/functional roles of chloride, suggesting that it regulates the electrostatic interactions between D61 and K317 that might be critical for proton abstraction. Furthermore, structural studies of synthetic oxomanganese complexes, including the [H<sub>2</sub>O(terpy)Mn<sup>III</sup>(µ-O)<sub>2</sub>Mn<sup>IV</sup>(terpy)OH<sub>2</sub>]<sup>3+</sup> (1, terpy = 2,2':6',2"-terpyridine) complex, provided valuable insights on the mechanistic influence of carboxylate moieties in close contact with the Mn catalyst during oxygen evolution. Covalent attachment of 1 to TiO2 has been achieved via direct deposition and by using organic chromophoric linkers. The (III, IV) oxidation state of 1 attached to TiO<sub>2</sub> can be advanced to (IV, IV) by visible-light photoexcitation, leading to photoinduced interfacial electron transfer. These studies are particularly relevant to the development of artificial photosynthetic devices based on inexpensive materials.

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## Introduction

Significant advances in X-ray crystallography of Photosystem II (PSII) were made over the past decade, starting with the first X-ray crystal model of the PSII protein complex obtained at 3.8 Å resolution [1,2]. Subsequent work resolved the structure of PSII at 3.5 Å resolution and proposed a detailed atomistic model of the oxygen-evolving complex (OEC), responsible for catalytic water oxidation [3]. The model included a cuboidal core CaMn<sub>3</sub> with a 'dangling' Mn, as suggested by EPR studies [4], where the metal centers are linked by  $\mu$ -oxo bridges (i.e. deprotonated water molecules). However, the positions of the metal centers could not be resolved at 3.5 Å resolution. Nevertheless, the proposed model stimulated significant interest for theoretical studies that built chemically sensible models with a complete coordination of high-valent Mn centers [5-20]. Studies based on density functional theory (DFT), including quantum mechanics/molecular mechanics (QM/MM) structural models with an explicit treatment of the biomolecular environment surrounding the OEC, addressed the nature of the OEC intermediate states along the catalytic cycle as proposed by Joliot and Kok [21,22]. The models were consistent with available mechanistic data, extended X-ray absorption fine structure (EXAFS) measurements, and Fourier-transform infrared (FTIR) spectroscopy [6–11], although several possible ligation schemes for the nearby amino-acid side chains were found to be possible. These computational models revealed important features of the OEC that have been subsequently confirmed by the most recent X-ray diffraction (XRD) data, including coordination of terminal water molecules bound to the Ca atom and to the dangling Mn, and an additional  $\mu$ -oxo bridge linking the dangling Mn to the cuboidal CaMn<sub>3</sub> cluster (Figure 1) [6-11]. A subsequent X-ray structure, obtained at 3.0 Å resolution, proposed a proteinaceous ligation scheme with bidentate carboxylate groups bridging the metal centers of the OEC [23], although the precise positions of the metal centers,  $\mu$ -oxo bridges, bound water molecules, side chain ligands, and chloride cofactor still remained unresolved due to radiation damage during the process of X-ray data collection and structural disorder [24–26].

Recent advances in X-ray crystallography have reduced the problem of radiation damage by growing larger crystals and displacing the sample during data collection, and have reported an XRD model of PSII at 1.9 Å resolution  $[27^{\bullet\bullet}]$ . The model confirmed many structural features common to previous models, including the cuboidal structure of the OEC, the additional  $\mu$ -oxo bridge linking the dangling Mn to the cuboidal CaMn<sub>3</sub> cluster, the presence of terminal water molecules bound to Ca and the dangling Mn, the coordination of nearby amino-acid residues (with carboxylate groups bridging the metal





The oxygen-evolving complex (OEC) of Photosystem II (PSII). Top panel: Ligation scheme of the  $Mn_4O_5Ca$  cluster and chloride binding sites (BS1 and BS2) as proposed by the X-ray model at 1.9 Å resolution. Carboxylate groups of amino-acid residues in close contact with the OEC, including D1-Asp61, D1-Asp170 and D1-Glu189 that might be essential for proton-transfer events along the catalytic cycle of water oxidation are highlighted. Bottom panel: Superposition of the OEC in the X-ray models of PSII at 1.9 Å (blue) and 3.5 Å (red) resolution. Bottom part reproduced from Ref. [28\*\*].

centers), and the presence of chloride in close proximity to the OEC (Figure 1). At the same time, the model introduced features that were not included in any previous empirical or computational models, including the coordination of the side chain of D170 bridging Ca and the dangling Mn. These breakthroughs have stimulated a new series of studies aimed to establish the structure of the OEC and the reaction mechanism, including a DFT QM/MM structural model of the OEC in the dark-adapted (S<sub>1</sub>) state consistent with the ligation scheme suggested by the most recent X-ray structure as well as with EXAFS data [28<sup>••</sup>]. Molecular dynamics (MD) and Monte Carlo (MC) simulations based on the newest XRD data have been applied to explore the functional role of chloride as an allosteric regulator of PSII [29<sup>••</sup>]. In addition, recent DFT QM/MM calculations [30] have been performed to characterize the H-bonding interactions between the carboxylate group of D1-Glu189 bound to Mn(1) and Ca, and the interactions between the redox-active tyrosine  $Y_Z$  and the amino-acid residue D1-His190 in close proximity to the OEC.

Mechanistic investigations of the water-splitting reaction also benefited from studies of biomimetic oxomanganese complexes [31°,32,33,34°,35,36]. Homogeneous Mnbased systems are catalysts that can be deposited onto semiconductor materials to drive water oxidation in artificial photosynthetic devices [37,38]. Many of the mechanistic aspects responsible for water-splitting catalyzed by these oxomanganese synthetic complexes are thought to be common to the OEC of PSII, where a terminal water molecule directly bound to Mn forms an oxyl radical by deprotonation and partial oxidation. The resulting oxyl radical is susceptible to nucleophilic attack by substrate water in close contact with a proton acceptor. Therefore, we address not only recent progress on DFT-QM/MM models of the OEC, including the structural/ functional role of cofactors that influence the underlying proton-coupled electron-transfer (PCET) mechanism, but also the characterization of oxomanganese catalysts deposited on nanoparticulate TiO<sub>2</sub> electrode surfaces.

# The dark-stable S<sub>1</sub> state of the OEC

In photosynthetic water splitting, the solar energy is used to oxidize the chlorophyll a P680, forming the radical  $P680^{\bullet+}$ , the most oxidizing species known in biology.  $P680^{\bullet+}$  is able to oxidize tyrosine  $Y_Z$ , which in turn oxidizes the OEC, storing an oxidizing equivalent in the OEC cluster. The process is repeated, while evolving the OEC through a cycle of S (storage) states [21,22], accumulating 4 oxidizing equivalents before oxygen evolution. The catalytic cycle thus involves five storage states, with S<sub>0</sub> and S<sub>4</sub> being the most reduced and oxidized intermediates, respectively. Structural changes in the OEC along the S<sub>0</sub>-S<sub>3</sub> transitions have been characterized by X-ray absorption spectroscopy [25,39,40]. Direct comparisons between experimental EXAFS data and simulated spectra based on the most recent XRD structure [25,40] indicate that the XRD model does not correspond to any of the S-state intermediates observed in the catalytic cycle [28\*\*]. Moreover, the comparison to weighted averages of the experimental  $S_0-S_3$  spectra indicate that there is no mixture of S<sub>0</sub>-S<sub>3</sub>-state intermediates that could give quantitative agreement with the spectrum of the XRD model. Therefore, these theoretical studies concluded that the XRD model corresponds to a mixture of states, more reduced than the  $S_0$  state [28<sup>••</sup>].

Having ruled out the XRD model as the structure of the  $S_1$  resting state, theoretical work was then focused on obtaining a model of the resting state that is consistent with both the ligation scheme suggested by the new XRD



#### Figure 2

Superposition of the new XRD model and the DFT-QM/MM S<sub>1</sub> state model of the OEC (a). Comparison between experimental (red) and calculated (black) isotropic (b) and polarized (c) EXAFS spectra for the OEC of PSII in the S<sub>1</sub> state calculated with the DFT-QM/MM and refined R-QM/MM model. Reproduced from Ref. [28\*\*].

model and with high-resolution EXAFS data. This has been successfully accomplished by the DFT QM/MM model of the OEC in the S<sub>1</sub> Mn<sub>4</sub>(IV,III,IV,III) state [28<sup>••</sup>], shown in Figure 2. In contrast to the X-ray crystal structure, the DFT-QM/MM model is fully consistent with EXAFS data, including polarized EXAFS spectra [41]. Figure 2 shows the structural differences between the XRD and the DFT-QM/MM models and the good agreement between the isotropic and polarized EXAFS spectra of the S<sub>1</sub> state and the corresponding simulated spectra obtained with the DFT-QM/MM model. Quantitative agreement has been obtained [28<sup>••</sup>] through refinement of the S1 DFT-QM/MM model (R-QM/ MM model in Figure 2) using a conjugate gradient optimization method [10]. These results indicated that disagreement between the EXAFS spectra calculated from the XRD model and the experimental EXAFS spectrum of the  $S_1$  state is primarily due to the absence of Mn–Mn distances shorter than 2.8 A in the X-ray structure (in chain A).

#### Chloride cofactor

It has been known for quite some time that chloride depletion from PSII suppresses  $O_2$  evolution by hindering the oxidation of the OEC beyond the  $S_2$  state [42]. However, until very recently, the chloride binding sites and the specific functional/structural roles of chloride

have remained elusive [27<sup>••</sup>,29<sup>••</sup>,43,44]. Crystallographic studies of bromide-substituted and iodide-substituted PSII samples revealed two binding sites for halide anions in the proximity of the OEC [43], with the most recent XRD data at 1.9 Å resolution confirming the bromide sites (BS1 and BS2) for chloride binding (Figure 1) [27<sup>••</sup>]. Binding at the BS1 site is stabilized by backbone interactions while binding at the BS2 site involves interactions with the positively charged amino-acid residue D2-Lys317 as well as interactions with water molecules between D2-Lys317 and D1-Asp61. Those interactions are particularly interesting since amino-acid residues D2-Lys317 and D1-Asp61 belong to a network of polar amino-acid residues suggested to form one of the proton exit channels from the OEC to the lumen [3].

MD and MC simulations based on the DFT-QM/MM model have been applied to explore the effect of chloride binding on specific hydrogen-bonding interactions and protonation states of amino-acid residues at the BS2 binding site [29<sup>••</sup>]. As shown in Figure 3, the D1-Asp61 side chain occupies a critical position at the BS2 site, between the Mn cluster and the (D2-Lys317)-NH<sub>3</sub><sup>+</sup>/Cl<sup>-</sup> ion-pair, and is displaced upon Cl<sup>-</sup> depletion. These simulations predict that Cl<sup>-</sup> depletion alters the hydrogen-bonding interactions of D1-Asp61, inducing the formation of a salt bridge between the charged side chains of





Top: Waters modeled in the 1.9 Å X-ray structure (gray spheres) next to the Cl<sup>-</sup>, OEC, and residues D1-Asp61 (D61) and D2-Lys317 (K317). Bottom: Superposition of instantaneous configurations along MD simulations (waters shown as gray spheres and D61, K317 side chains colored from red to blue for 0–24 ns) of the OEC with (right) or without (left) Cl<sup>-</sup> at the BS2 site. A salt bridge between K317 and D61 forms upon Cl<sup>-</sup> depletion, and is interrupted by water in the presence of Cl<sup>-</sup>. The X-ray configuration is shown in magenta. Reproduced from Ref. [29\*\*].

D1-Asp61 and D2-Lys317 (Figure 3). Formation of a stable (and catalytically inactive) salt bridge effectively reduces the D1-Asp61 functionality as a proton acceptor. Therefore, the primary role of Cl<sup>-</sup> suggested by MD and MC simulations is to function as an allosteric regulator of PSII, stabilizing a configuration of charged side chains close to the OEC that favors flexible conformations of the basic center (D1-Asp61), assisting the proton-abstraction at the different S states along the Kok cycle.

# Lewis base redox cofactors

DFT QM studies have addressed the redox leveling mechanism based on proton-coupled electron-transfer (PCET) during activation of synthetic oxomanganese catalysts of water oxidation [35,36], including the homogeneous catalyst [H<sub>2</sub>O(terpy)Mn<sup>III</sup>( $\mu$ -O)<sub>2</sub>Mn<sup>IV</sup> (terpy)OH<sub>2</sub>]<sup>3+</sup> (**1**, terpy = 2,2':6',2''-terpyridine) [31°,33, 34°,45]. These studies also suggested that the reduction potential of **1** is lowered by as much as 100–200 mV upon binding of carboxylate groups (e.g. acetate) that

exchange with terminal water ligands. In particular, the analysis of ligand binding free energies and redox potentials indicated that the III,  $IV \rightarrow IV$ , IV oxidation of **1** is facilitated in the presence of acetate (AcO<sup>-</sup>) ligands [34<sup>•</sup>]. Analogous to the activation of the OEC, where the oxidized form of Yz acts as a primary oxidant and advances the oxidation state of the inorganic core, complex 1 is activated by primary oxidants (e.g. oxone) that generate the high-valent Mn(IV)-O<sup>•</sup> oxyl intermediate species [45]. This oxyl radical is subject to nucleophilic attack by a substrate water [46], evolving molecular oxygen through formation of an O-O bond, in analogy to photosynthetic O<sub>2</sub> evolution in PSII. Recent DFT studies provided insights on the role of carboxylate moieties in the mechanism of O-O bond formation [Rivalta et al., unpublished data] suggesting that buffer acetate moieties participate as proton acceptors activating the nucleophile water molecules during O-O bond formation and, therefore, reducing the effective potential free energy barrier.



#### Figure 4

(a) DFT/QM-QMM model of the complex 1 anchored to a TiO<sub>2</sub>–NP, with a water ligand exchanged by the NP. Color scheme: C (light blue), H (white), Mn (purple), N (blue), O (red), and Ti (gray). (b) EPR spectra of (a) 1–P25, (b) 1–D450, (c) 1–D70, and (d) complex 1 in a HOAc/NaOAc buffer solution (pH 4.5). A trapped electron signal and an organic radical signal are present in spectra (a) and (b), respectively. (c) Powder XRD patterns of (a) P25, (b) D450, and (c) D70 indicating different crystallinity of these TiO<sub>2</sub> nanoparticles. (d) O<sub>2</sub> evolution using Ce<sup>4+</sup> as a single-electron oxidant. 1 was loaded on TiO<sub>2</sub> (50 mg) samples: (a) P25, (b) D450, and (c) D70; and control test using (d) bare P25 NP's as the catalyst. Reproduced from Ref. [37<sup>+</sup>].

These results are particularly relevant to catalytic water oxidation in PSII, where the OEC cluster is ligated and surrounded by carboxylate groups of polar amino-acid side chains, including Asp170, Glu189, Glu333, Glu354, Ala344, Asp342 and Asp61 (Figure 1). In particular, D1-Asp61 is directly interacting with the OEC through various H-bond interactions and could function as a proton acceptor in the presence of chloride. D1-Glu189 is the only amino-acid residue with a carboxvlate group that binds the OEC as a monodentate ligand. The carboxylate oxygen not bound to the Mn center is H-bonded to a water molecule W<sub>1</sub>\* bound to Ca, suggesting that it could also be responsible for proton abstraction from W1\*, during a nucleophilic attack of W<sub>1</sub><sup>\*</sup> onto the oxyl radical Mn(IV)–O<sup>•</sup> formed upon deprotonation and partial oxidation of the water ligand  $W_2^*$ . Another interesting feature is that D1-Asp170 is bridging between the Ca and the dangling Mn, the two metal centers with terminal water ligands. Therefore, there is the non-trivial question as to whether D1-Asp170 might remain in that binding mode or otherwise assume other configurations that would allow this carboxylate group to participate in the deprotonation of substrate water molecules.

## Biomimetic Mn catalysts for artificial photosynthesis

Solar cells that efficiently convert water into  $H_2$  and  $O_2$  require coupling of water-oxidation catalysts to electrode surfaces. Inexpensive homogeneous catalysts are ideal candidates for heterogeneous assemblies based on surface covalent attachment. In particular, assemblies of Mn biomimetic complexes to semiconductor electrodes, such as TiO<sub>2</sub> thin-films, are particularly attractive for large-scale applications of photocatalytic solar cells [47<sup>•</sup>].

Recent work has focused on studies of  $\text{TiO}_2$  nanoparticles (NPs) functionalized with complex **1** via direct adsorption [37°], or by attachment through light-harvesting organic linkers that are robust under aqueous and oxidative conditions [38°]. Figure 4 shows a molecular model of a functionalized TiO<sub>2</sub> NP using complex **1**, where the mixed-valence (III,IV) state of **1** attaches to near-amorphous TiO<sub>2</sub> NPs by substituting one of its water ligands by the TiO<sub>2</sub> NP, as suggested by low-temperature (7 K) EPR data and DFT QM/MM modeling. Characterization of **1**–TiO<sub>2</sub> hybrid assemblies using three TiO<sub>2</sub> materials with different degrees of crystallinity (P25, with 85% anatase, D450, with NP sintered at 450 °C and mainly constituted

by anatase, and D70, with low crystallinity) indicates that the (III,IV) Mn dimer is not the predominant form of the surface adsorbate complex for well-crystallized TiO<sub>2</sub> nanoparticles, probably due to formation of Mn(IV) tetramers. Using Ce<sup>4+</sup> as a primary oxidant, oxygen evolution was observed for **1**–P25, as shown in Figure 4. When covalently attached via chromophoric organic linkers, the Mn(III,IV) state could be advanced to the Mn(IV,IV) state by visiblelight photoexcitation leading to photoinduced interfacial electron transfer [38<sup>•</sup>]. These results are particularly relevant to the development of photocatalytic devices for oxidation chemistry based on inexpensive materials (e.g. TiO<sub>2</sub> and Mn complexes).

# Conclusions

Recent advances in studies of natural and artificial photosynthesis have provided valuable insights on the nature of the catalytic centers responsible for water-oxidation in PSII and biomimetic catalysts based on inexpensive, earthabundant materials. Computational studies have addressed fundamental questions, stimulated by recent breakthroughs in X-ray crystallography, including the structure of the OEC of PSII and the potential functional roles of acid/base and redox cofactors that are essential for photosynthetic water oxidation. Work in progress involves the characterization of the intermediate S states, taking into account the potential functional roles of essential cofactors such as chloride ions and Lewis base carboxylate groups that might be essential for the activation mechanism based on PCET. The resulting insight is particularly valuable for the development of synthetic catalytic systems with common mechanistic functionalities, where photoabsorption, PCET and IET are essential for activation of the catalyst. These studies are, thus, expected to make many more important contributions to the development of photocatalytic solar cells through the integrated effort of computational modeling and high-resolution spectroscopic techniques, in conjunction with ligand design, synthesis and assembly to electrode surfaces.

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In this paper, the electrochemical behavior of the biomimetic water-oxidation catalyst  $[H_2O(terpy)Mn^{II}(\mu-O)_2Mn^{V}(terpy)OH_2]^{3+}$  (1, terpy = 2,2':6',2''terpyridine) has been examined in water under a variety of pH and buffered conditions. In the presence of an acetate buffer, a carboxylate group binds to 1 in place of one of the terminal water ligands. Experimental evidences show that 1 exhibits proton-coupled electron-transfer reactivity analogous to the OEC, and may be capable of electrochemical water oxidation.

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Comput 2010, **6**:2395-2401. In this work, the  $(III,IV) \rightarrow (IV,IV)$  one-electron oxidation of the catalyst  $[H_2O(terpy)|Mn^{II}(\mu-O)_2Mn^{IV}(terpy)OH_2]^{3+}$  (**1**, terpy = 2,2':6',2"-terpyridine) has been studied and compared to the analogous conversion in an oxomanganese complex without terminal water ligands, the  $[(bpy)_2M^{-n}^{III}(\mu-O)_2Mn^{IV}(bpy)_2]^{3+}$  complex (2, bpy = 2,2'-bipyridyl). The oxidative transition has been analyzed in terms of free energy calculations of redox potentials and  $pK_as$  as directly compared to cyclic voltammogram measurements. The  $pK_{as}$  of terminal water ligands depend strongly on the oxidation states of the metal centers, and the oxidation potential of **1** is strongly dependent on pH (in contrast to **2**) as well as by coordination of Lewis base moieties (e.g., carboxylate groups) that competitively bind to Mn by exchange with terminal water ligands.

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  - nanoparticles: computational modeling, assembly and characterization. *Energ Environ Sci* 2009, **2**:230-238.

In this paper, a successful attachment of the catalyst  $[H_2O(terpy)Mn^{III}(\mu-O)_2Mn^V(terpy)OH_2]^{3+}$  (**1**, terpy = 2,2':6',2''-terpyridine) has been onto TiO<sub>2</sub> nanoparticles (NPs) via direct adsorption, or in situ synthesis, has been reported. The **1**-TiO<sub>2</sub> surface complexes have been characterized with a variety of techniques, including EPR and UV-visible spectroscopy, electrochemical measurements and computational modeling. The mixed-valence (III,IV) state of **1** attaches to near-amorphous TiO<sub>2</sub> NPs by substituting one of its water ligands by the TiO<sub>2</sub> NP. Using Ce<sup>4+</sup> as a primary oxidant, oxygen evolution was observed for assemblies of complex **1** attached to highly crystalline NP.

 Li G, Sproviero EM, McNamara WR, Snoeberger RC III,
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This paper reports the covalent attachment of the catalyst [H<sub>2</sub>O(terpy)M-n<sup>III</sup>( $\mu$ -O)<sub>2</sub>Mn<sup>IV</sup>(terpy)OH<sub>2</sub>]<sup>3+</sup> (**1**, terpy = 2,2':6',2''-terpyridine) has been onto nanoparticulate TiO<sub>2</sub> surfaces (NP) using a robust chromophoric linker. The organic linker (L) is a phenylterpy ligand attached to a 3-phenylacetylacetonate anchoring moiety via an amide bond, and it absorbs visible light, leading to photoinduced interfacial electron transfer into the semiconductor conduction band. The Mn(III,IV) state of **1** can be reversibly advanced to the Mn(IV,IV) state by visible-light photoexcitation of **1**-L-TiO<sub>2</sub>. A high degree of crystallinity of the TiO<sub>2</sub> NPs is essential for promoting photooxidation of the adsorbates by photoinduced charge separation.

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This article reviews recent advances in the fundamental understanding of structure/function relationships in inexpensive photocatalytic materials for fuel production, with emphasis on computational work for the development and characterization of catalytic surfaces based on nanoporous TiO<sub>2</sub> thin films functionalized with Mn catalysts. The reviewed computational studies have been integrated with synthesis, spectroscopy, and electrochemistry experimental work.